

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RHEE, S. et al.

Serial No.: Herewith

Group Art Unit: TBD

Filed: November 14, 2001

Examiner: TBD

Title: *HANSENULA POLYMORPHA* MUTANTS AND PROCESS FOR THE
PREPARATION OF RECOMBINANT PROTEINS USING THE SAME

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Commissioner for Patents
Box: PCT
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination and prior to the calculation of the filing fee, please amend
this application as follows:

In the claims:

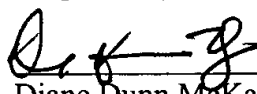
Please cancel claims 1-16 and 21-43.

REMARKS

Claims 1-16 and 21-43 have been cancelled. Claims 17, 18, 19 and 20 are in this
application.

A prompt and favorable action on the merits is earnestly solicited. It is believed
that no fee is required. The Commissioner is authorized to charge any deficiency or
credit any overpayment to Deposit Account No. 13-2165.

Respectfully submitted,


Diane Dunn McKay
Reg. No. 34,586
Attorney for Applicant

RECEIVED
OCT 25 2001

DATE: November 14, 2001

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Date of Deposit: November 14, 2001

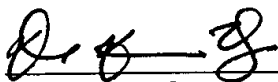
Type of Documents:

1. Acknowledgment Post Card;
2. "Express Mail" Certificate;
3. Utility Patent Application Transmittal;
4. Specification (37 pgs) Claims (5 pgs) & Abstract (1 pg);
5. 13 sheets of Formal drawings;
6. Copy of Declaration & Power of Attorney (signed);
7. Copy of Recorded Assignment;
8. Preliminary Amendment; and
9. Check in the amount of \$740.00.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C. 20231; BOX: Patent Application.

Diane Dunn McKay

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